

IN THE JC04 RECEPTION 31 OCT 2005 UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Gänzle et al.

COMMUNICATION

OST-051137

TRANSMITTING INFORMATION

DISCLOSURE

STATEMENT

SERIAL NO.:

FILED ON:

10/540,781

June 27, 2005

FOR:

CASE:

SANITARY OUTFLOW FITTING

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450 ATTENTION OF: Not yet assigned

EXAMINER:

Not yet assigned

Dear Examiner:

This Information Disclosure Statement ("IDS") is submitted pursuant to 37 CFR § 1.56. The filing of this "information disclosure statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)." See 37 CFR § 1.97(h).

The applicant believes that no fees are required with this communication, however, if any additional fees are required, the Commissioner is authorized to pay such fees from Deposit Account No. 50-0545. Should anything further be required, a telephone call to the undersigned at (312) 226-1818 is respectfully invited.

Dated: October 27, 2005

Respectfully submitted,

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 27, 2005.

Walling Vego

Joseph M. Kinsella Jr.

One of Attorneys for Applicant

FACTOR & LAKE, LTD. 1327 W. Washington Blvd Suite 5G/H Chicago, IL 60607 (312) 226-1818 Telephone (312) 226-1919 Facsimile Jody L. Factor 34157
Micheal D. Lake 33727
Edward L. Bishop 39110
William J. Lenz 44208
Joseph M. Kinsella Jr. 45743
Nick S. Lee 54260



IN THE **UNITED STATES** PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Gänzle et al.

CASE:

OST-051137

STATEMENT OF

SERIAL NO.:

10/540,781

BASIS FOR **RELEVANCE OF**

FILED ON:

June 27, 2005

FOREIGN LANGUAGE

FOR:

SANITARY OUTFLOW

DOCUMENTS IDENTIFIED IN

FITTING

SUBMITTED

PTO/SB/08A

Mail Stop Amendment Commissioner For Patents P.O. Box 1450

ATTENTION OF: Not yet assigned

54260

Alexandria, VA 22313-1450

(312) 226-1919 Facsimile

EXAMINER: Not yet assigned

Dear Sir:

If any charges or fees must be paid in connection with the following communication, they may be paid out of our Deposit Account No. 50-0545.

PUBLICATION NO. FR 2 447 508 A2	PUBLICATION DATE August 22, 1980	BASIS FOR RELEVANCE The mixing tap for mixing hot and cold water produces an outflow at a required temperature. The tap has a cylindrical body with a central rod which is moved axially so that the outflow changes from cold to hot as the rod is moved from one extreme position to the other. This spout and outer housing are attached to the inner housing so that the spout can be rotated in the horizontal plane.	
EP 0 221 230 B1	January 24, 1990	The hot and cold water mix control handle on top, has a incorporates the discharge of a ceramic material such	a body (1) which spout and which is made
FACTOR & LAKE, LTD. 1327 W. Washington Suite 5G/H Chicago, IL 60607 (312) 226-1818 Telephone	N E V	ody L. Factor Iicheal D. Lake Idward L. Bishop Villiam J. Lenz oseph M. Kinsella Jr.	34157 33727 39110 44208 45743

Nick S. Lee

The control handle has a connection with the top of a cartridge (5), with a base (4) shaped for anchorage in the body, which fits into a sleeve (2) with a pressure tight connection. A flow regulator (9) is incorporated in the outlet end (10) of the spout, also secured by a pressure tight connection. Adhesive is used for the connections. The outside surface of the body can be glazed.

DE 34 26 740 A1

January 30, 1986

A body (10) of a hot and cold water mixing tap is cylindrical, with its open top closed by a cap (52) to which the operating lever is connected. Control elements (14) inside the body are supported below a bearing block (20) for an operating arm (16) which is swung about a transverse axis when the lever is raised and lowered.

The lever is carried by a horizontal spindle (44) at right angles to this axis and carries a gear pinion (50) which forms a right angle drive by meshing with teeth on the curved top edge of the arm. The top cap has two opposite holes (46, 48) forming a bearing for the spindle.

WO 96/29530 A

September 26, 1996

The main body (1) of the tap contains rotatable sleeve (3) and a core (4) fixed to an actuating lever (6). The sleeve and the body can be coupled together in one of multiple positions at the time of installation.

Coupling is effected by an internal ledge (29) in the casing. The ledge is provided with multiple axial U-section fixing grooves (r1). The lower end (10) of sleeve has a threaded hole (31, 32) which receives a fixing screw (33) passing through a selected groove. Due to this, the sleeve and lever are locked in the required position.

DE 198 44 627 AT

April 20, 2000

The water fitting uses an insert connection between releasably connected parts. The connection is secured by a screw-clamp device (21) with a through passage aperture in the accommodation part (11) and a threaded hole with an engaged screw in the insert part (17). The threaded hole and the through passage aperture are aligned with each other.

FR 2 438 217 A

April 30, 1980

The mixing valve is controlled by a single lever, being for use e.g. in a shower. Three parallel plates slide against each other in a control body in a housing, having axial ports for reverse flow, the

inlets and outlets in the fixed lowermost one being sealed from those in the housing.

The uppermost plate has a deflection passage and is slid in a straight line by the finger of a lever to control the quantity. The lever also turns for a limited amount by means of a sleeve the intermediate plate bearing against the lowermost one, to regulate temp. A supporting sleeve (11) encloses the lower most plate (10) and prevents it turning, having a symmetrical base opening whose periphery encloses the inlet and outlet (10a, 10b) in the lowermost plate.

DE 27 39 587 A1

August 18, 1988

The mixer valve operated by one hand has a body (1, 2) containing a fixed disc (5) having openings for hot and cold water. These openings register with corresponding ports in the body to which feed pipes are connected.

A member (10) has a cylindrical boss (9) which rotates in an opening in the body cover (2). The member has a slot (14) with parallel opposed side walls which serve as a guide for a movable disc (15). This disc has a recess (19) which regulates the openings depending on the position to which is moved by the handle (12) which is pivotally mounted at (13) under the boss (9).

DE 27 39 587 C3

March 22, 1979

The mixer valve operated by one hand has a body (1, 2) containing a fixed disc (5) having openings for hot and cold water. These openings register with corresponding ports in the body to which feed pipes are connected.

A member (10) has a cylindrical boss (9) which rotates in an opening in the body cover (2). The member has a slot (14) with parallel opposed side walls which serve as a guide for a movable disc (15). This disc has a recess (19) which regulates the openings depending on the position to which is moved by the handle (12) which is pivotally mounted at (13) under the boss (9).

Should anything further be required, a telephone call to the undersigned, at (312) 226-1818, is respectfully invited.

Respectfully submitted,

Dated: October 27, 2005

Joseph M. Kinsella Jr. Reg. No. 45743 One of Attorneys for Applicant

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 27, 2005.

Signature

Page 4 of 4

OCT 91 2005 W

PTO/SB/08a (08-03)

Approved use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO		Complete if Known			
Substitute for form 1449AFTO				Application Number	10/540,781
INFORMATION DISCLOSURE			RE	Filing Date	June 27, 2005
	STATEMENT BY APPLICANT			First Named Inventor	Fritz Gänzle
(Use as many sheets as necessary)				Art Unit	Not yet assigned
				Examiner Name	Not yet assigned
Sheet	1	of	1	Attorney Docket Number	OST-051137

	U.S. PATENT DOCUMENTS						
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where		
Initials*	No.1	Number - Kind Code ^{2 (if known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
	<u> </u>	US-3 726 316 A	04-10-1973	Moen			
	<u></u>	US-5 755 258 A	05-26-1998	Pawelzik et al.			
	L	US-					
		US-					
		US-					
		US-					
		US-					
		US-					
	Ī	US-					
		US-					
		US-					
	1	US-					
		US-					
		US-					
		US-					
		US-					
		US-					
	I	US-					
		US-					

			TI AILIT DOOG	WENIS		FOREIGN PATENT DOCUMENTS					
	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁶ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	To					
		WO 01/63157 A1	08-30-2001	KOHLER CO.		 					
		FR 2 447 508 A2	08-22-1980	CLEMENT Jean Pierre		1					
		EP 0 221 230 B1	01-24-1990	American Standard Inc.	·	†					
		DE 34 26 740 A1	01-30-1986	Hansa Metallwerke AG		†					
		WO 96/29530 A	09-26-1996	Supergrif S. L.		 					
		DE 198 44 627 A1	04-20-2000	Meloh Armaturen Ruppel & Meloh GmbH							
		FR 2 438 217 A	04-30-1980	Karrer Weber & CIE AG		1					
		DE 27 39 587 A1	03-22-1979	Hansa Metallwerke AG		1					
		DE 27 39 587 C3	08-18-1988	Hansa Metallwerke AG		1					

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.